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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/923,179		
		Filing Date	08/06/2001		
		First Named Inventor	Wheeler et al.		
		Group Art Unit	2132		
		Examiner Name			
Sheet	2	of	4	Attorney Docket Number	10399-34382

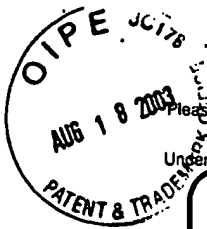
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
VZ	1	PKCS #9, Selected Object Classes and Attribute Types Version 2.0, RSA Laboratories, Redwood City, CA, pp 1-42	
VZ	2	Digital Signature Guidelines Tutorial ; ABA Network, (web site at http://www.abanet.org/scitech/ec/isc/dsg-tutorial.html), date of capture 2/18/2003, pp 1-7	
VZ	3	GERCK, Ed, Overview of Certification Ssystems: x.509, PKIX, CA, PGP & SKIP - Do you understand digital certificates? Do you know what they warrant? July 18, 2000; pp. 1-18	
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VZ	10	PEPE, Michel; Smart Cards Gaining Traction; CRN.com - Point of Sale: Trends, Markets and Technologies; December 31, 2002, (web site at http://crn.channelsupersearch.com/news/crn/39163.asp) captured on February 18, 2003; pp. 1-2	
VZ	11	Guide to Internet Security; Public Key Cryptography (web site at http://www.e-certify.com/library/pkc_guide.htm) captured on February 18, 2003	

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		Filing Date	08/06/2001		
		First Named Inventor	Wheeler et al.		
		Group Art Unit	2132		
		Examiner Name			
Sheet	3	of	4	Attorney Docket Number	10399-34384

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
VZ	12	Private Server Product Description; (web site at http://www.bnn.com.tr/assets/cserver_prodesc.html), date of capture 2/18/2003; pp 1-11	
VZ	13	Cylink atm encryptor (web site at http://securitytools.hypermart.net/security_1/cylink_atm_encryptor.html), date of capture 2/18/2003, p1	
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VZ	15	Baltimore; Solutions; A New Era in Secure and Trusted E-Business; (web site at http://www.baltimore.com/solutions/index.asp) date of capture 2/18/2003; p 1	
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VZ	22	Internet Counsel; NACHA to Test ATM Card Payments for Consumer Internet Purchases; February 23, 2003; pp 1-3	

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Electronic Information Disclosure Statement

Account-Based Digital Signature (ABDS) Systems

Application: 
09/923179

Confirmation: 2029

Applicant(s): Lynn Wheeler

Docket Number: 10399-34382

Group Art Unit: 2132

Examiner: ZAND, Kambiz

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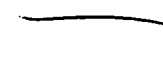

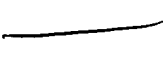

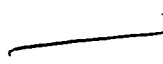










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init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
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	P02	6023509	2000-02-08		Herbert		
	P03	6044154	2900-03-28		Kelly		


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16	P24	6154543	2000-11-28		Baltzley
14	P25	5903882	1999-05-11		Asay et al.
12	P26	59433423	1999-08-24		Muftic
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12	P28	6233565	2001-05-15		Lewis et al.
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12	P32	5778072	1998-07-07		Samar
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12	P41	5963648	1999-10-05		Rosen

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Published Applications

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init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
<i>12</i>	U01	20010000535	2001-04-26		Lapsley et al.		

Remarks

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Examiner Name	Date
	01/11/05



Electronic Information Disclosure Statement

Account-Based Digital (ABDS) System

Application:



09/923179

Confirmation: 2029

Applicant(s): Lynn Wheeler

Docket

10399-34382

Number:

Group Art

Unit:

Examiner: ZAND, Kambiz

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
1/2	P01	3962539	1976-09-08		Ehram et al.		
1/2	P02	4200770	1980-04-29		Hellman et al.		
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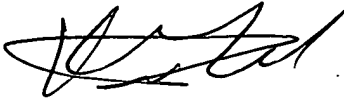
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<i>VL</i>	P40	5781723	1998-07-14		Yee et al.	<i> </i>
<i>VL</i>	P41	5768640	1998-08-18		Davis	<i> </i>
<i>VL</i>	P42	5812666	1998-09-22		Baker et al.	<i> </i>
<i>VL</i>	P43	5848161	1998-12-08		Luneau et al.	<i> </i>
<i>VL</i>	P44	5862327	1999-01-19		Kwang et al.	<i> </i>
<i>VL</i>	P45	5878142	1993-03-01		Capute et al.	<i> </i>
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<i>VL</i>	P47	5910989	1999-06-08		Naccache	<i> </i>
<i>VL</i>	P48	5910988	1999-06-08		Ballard	<i> </i>
<i>VL</i>	P49	5949881	1999-09-07		Davis	<i> </i>
<i>VL</i>	P50	5956404	1999-09-21		Schneier et al.	<i> </i>

Remarks

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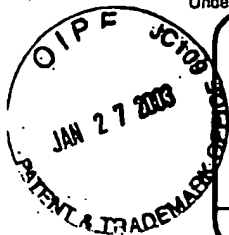
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VA	1.	BURTON S. KALISKI, JR., Some Examples of the PKCS Standards, An RSA Laboratories Technical Note, 11-1-93, RSA Laboratories, Redwood City, CA, pp. 1-15	
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VA	5.	PKCS #6: Extended-Certificate Syntax Standard, An RSA Laboratories Technical Note, 11-1-93, Version 1.5, RSA Laboratories, Redwood City, CA, pp. 1-11	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet **2** of **2**

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Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	ZAND, Kambiz
Attorney Docket Number	10399-34382

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WZ	19.	July 1997 Meeting Report, Electronic Payments Forum (web site at http://www.epf.net/PrevMtngs/July97Mtng/July97Report.htm), date of capture 1/11/99, 2 pp.	
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Application Number	09/923,179
Filing Date	08/06/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	ZAND, Kambiz
Attorney Docket Number	10399-34383

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ²	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
KA	23.	Guideline for Implementing Cryptography in the Federal Government, NIST Special Publication 800-21, November 1999, pp. 1-138	
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Application Number	09/923,179
Filing Date	8/6/2001
First Named Inventor	Wheeler et al.
Group Art Unit	2132
Examiner Name	ZAND, Kambiz
Attorney Docket Number	10399-34382

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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KA	34.	Entity Authentication Using Public Key Cryptography, FIPS Publication 196, February 18, 1997, pp. 1-52	
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